

"Express Mail" mailing label number EE874815733 Date of Deposit 01/17/02
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under AIR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

HENRY E. MILLSON JR.
(Printed name of person mailing paper or fee)

Henry E. Millson Jr.
(Signature of person mailing paper or fee)

Approved for use through: 10/31/98 OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box ☐

| | | | |
|--|-----------------------|--|---|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing | 0010/PTO Rev. 6/95 | U.S. Department of Commerce Patent and Trademark Office | Attorney Docket Number <u>MZ 100</u> |
| | | | First Named Inventor <u>ZASLOFF, MICHAEL</u> |
| | | | COMPLETE IF KNOWN |
| | | | Application Number |
| | | | Filing Date |
| | | | Group Art Unit |
| | | | Examiner Name |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS AND COMPOSITIONS FOR BLOCKING MICROBIAL
ADHERENCE TO EUKARYOTIC CELLS

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on

as United States Application Number or PCT International

Application Number

and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|---------------------|--------------------------|--------------------------|-------------------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto. |
|-----------------------|-------------|--|
| | | |
| | | |

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Type a plus sign (+) inside this box

ocket No.

DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application Number | PCT Parent Number | Parent Filing Date | Parent Patent Number (if applicable) |
|--------------------------------|-------------------|--------------------|--------------------------------------|
| 60/263,049 | | 01/18/01 | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name Customer Number or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

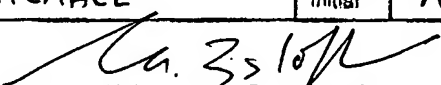
| Name | Registration Number | Name | Registration Number |
|-----------------------|---------------------|------|---------------------|
| HENRY E. MILLSON, JR. | 18,980 | | |

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☐ Customer Number or label OR ☒ Fill in correspondence address below

| | | | |
|---------|-----------------------|-----------|--------------|
| Name | HENRY E. MILLSON, JR. | | |
| Address | 675 GOLDEN HAWK DR. | | |
| Address | | | |
| City | PRESCOTT | State | ARIZONA |
| Country | USA | Telephone | 928-445-2453 |
| | | Fax | 928-445-2457 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|---|---|---|-------|
| Name of Sole or First Inventor: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name | MICHAEL | Middle Initial | A |
| Family Name | ZASLOFF | Suffix e.g. Jr. | |
| Inventor's Signature |  | | Date |
| Residence: City | MERION | State | PA |
| | | Country | USA |
| | | Citizenship | USA |
| Post Office Address | 274 LINDEN LANE | | |
| Post Office Address | | | |
| City | MERION | State | PA |
| | | Zip | 19066 |
| | | Country | USA |
| | | Applicant Authority | |
| <input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto | | | |

Type a plus sign (+) inside this box

Date No.:

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

| | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|---------------------|--|--|--|----------------|--|----|--|---|--|----------|--|-----------|--|-----------------|--|-----|--|---------------------|--|--|--|
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | | | |
| Given Name | | GLENN | | | | Middle Initial | | M | | Family Name | | ANDERSON | | | | Suffix e.g. Jr. | | | | | | | |
| Inventor's Signature | | Glenn M Anderson | | | | | | | | | | Date | | 1/11/2002 | | | | | | | | | |
| Residence: | | NORRISTOWN | | | | State | | PA | | Country | | USA | | | | Citizenship | | USA | | | | | |
| Post Office Address | | 8020 FAIR VIEW Lane | | | | | | | | | | | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| City | | NORRISTOWN | | | | State | | PA | | Zip | | 19403 | | Country | | USA | | | | Applicant Authority | | | |
| Name of Additional, and last, Joint Inventor: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | | | |
| Given Name | | | | | | Middle Initial | | | | Family Name | | | | | | Suffix e.g. Jr. | | | | | | | |
| Inventor's Signature | | | | | | | | | | | | Date | | | | | | | | | | | |
| Residence: | | | | | | State | | | | Country | | | | | | Citizenship | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| City | | | | | | State | | | | Zip | | | | Country | | | | | | Applicant Authority | | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | | | |
| Given Name | | | | | | Middle Initial | | | | Family Name | | | | | | Suffix e.g. Jr. | | | | | | | |
| Inventor's Signature | | | | | | | | | | | | Date | | | | | | | | | | | |
| Residence: | | | | | | State | | | | Country | | | | | | Citizenship | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| City | | | | | | State | | | | Zip | | | | Country | | | | | | Applicant Authority | | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | | | |
| Given Name | | | | | | Middle Initial | | | | Family Name | | | | | | Suffix e.g. Jr. | | | | | | | |
| Inventor's Signature | | | | | | | | | | | | Date | | | | | | | | | | | |
| Residence: | | | | | | State | | | | Country | | | | | | Citizenship | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | | | |
| City | | | | | | State | | | | Zip | | | | Country | | | | | | Applicant Authority | | | |
| <input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto | | | | | | | | | | | | | | | | | | | | | | | |

2002-01-15 00:20

**PTO/BB/10 (6-95)

Approved for use through 07/31/96. OMB 0431-0031

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Docket Number (Optional)

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) & 1.27(c))—SMALL BUSINESS CONCERNApplicant or Patentee: MICHAEL ZASLOFF

Application or Patent No.:

Filed or Issued: 01/17/02Title: METHODS AND COMPOSITIONS FOR BLOCKING MICROBIAL ADHERENCE TO EUKARYOTIC CELLS

I hereby declare that I am

- ☒ the owner of the small business concern identified below;
- ☐ an official of the small business concern empowered to act on behalf of the concern identified below;

NAME OF SMALL BUSINESS CONCERN MICHAEL ZASLOFFADDRESS OF SMALL BUSINESS CONCERN 274 LINDEN LANE
MERION, PA. 19066

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern or organization having any rights in the invention is listed below:
- ☒ no such person, concern, or organization exists.
- ☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING MICHAEL ZASLOFF

TITLE OF PERSON IF OTHER THAN OWNER

ADDRESS OF PERSON SIGNING 274 LINDEN LANE, MERION, PA 19066SIGNATURE CA. ZASLOFF DATE 1/11/2002

**Duration Here Statement: This form is estimated to take 3 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the accuracy of this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

10053229 011702